

Urgent Finance & Audit Committee Meeting - Teleconference Available by Webcast

December 07, 2020, 09:00 AM

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AGENDA

Item	Topic	Topic Type	Presenter
1. Call General Session to Order, Announce Proxies and Segment Alternates		--	Chair
2. General Session Meeting Minutes		Vote	Chair
2.1. February 11, 2020 Meeting		Vote	--
2.2. August 10, 2020 Urgent Meeting		Vote	--
2.3. October 12, 2020 Urgent Meeting		Vote	--
3. Results of Examination and Independence Report from the System and Organization Control Auditor		Discussion	Schellman & Company
4. Recommendation Regarding Acceptance of 2020 System and Organization Control Audit Report		Vote	Christine Hasha and Schellman & Company
5. Periodic Report on Credit Work Group Activity		Discussion	Mark Holler, CWG Chair
6. Annual Committee Self-Evaluation Survey Results		Discussion	Chair
7. Committee Briefs		Discussion	Staff
7.1. Review 2020 Financial Summary		Discussion	Sean Taylor
7.2. Periodic Report on Investments		Discussion	Leslie Wiley
8. Future Agenda Items		Discussion	Sean Taylor
9. Other Urgent Business		Discussion	Chair
Break			
Convene Executive Session		--	--
Executive Session Meeting Minutes		Vote	Chair
• February 11, 2020 Meeting		Vote	--
• August 10, 2020 Urgent Meeting		Vote	--
• October 12, 2020 Urgent Meeting		Vote	--
Contract, Personnel, Security, Compliance, Risk Management, Litigation and Regulatory Matters		Discussion	Staff
Recommendation Regarding Selection of 2021 Independent System and Organization Control Auditor		Discussion	Sean Taylor
Recommendation Regarding Selection of ERCOT 401(k) Savings Plan Auditor		Discussion	Sean Taylor
Recommendation Regarding Selection of Independent Financial Auditor		Discussion	Sean Taylor
Recommendation Regarding Selection of Independent System and Organization Control Auditor		Discussion	Sean Taylor
Periodic Report on Internal Audit Activity		Discussion	Penny Rychetsky
Reconvene General Session		--	--
10. Vote on Matters from Executive Session		Vote	Chair
11. Adjournment		--	Chair

*The conclusion time shown on the Agenda is approximate.
The meeting may conclude earlier or later than the noted time.
Matters may also be taken out of Agenda order.

The next regularly-scheduled F&A Committee Meeting is expected to be held on February 8, 2021.

A live meeting webcast will be available on the main web page for the Board:
http://www.ercot.com/committee/finance_audit.

Any person who wants to provide public comment on the agenda items can do so by sending an email to Vickie Leady, ERCOT Assistant General Counsel and Assistant Corporate Secretary, at Vickie.Leady@ercot.com by 5:00 pm on Friday, December 4, 2020, with the following information:

1. Your name
2. The company or organization that you represent, if applicable
3. Your preferred email address
4. Your telephone number where you can be reached immediately prior and during the urgent F&A Committee meeting
5. The agenda item for which you plan to provide comment
6. The general substance of your comment

Upon receipt of this information, a unique personal identifier, technical information on participation, and allotted time limit will be provided to each participant in advance of the meeting.

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SCHEDULED FINANCE & AUDIT MEETINGS

[Aug 10, 2020 - Urgent Finance & Audit Committee Meeting - Teleconference Available by Webcast](#)

[Oct 12, 2020 - Urgent Finance & Audit Committee Meeting - Teleconference Available by Webcast](#)

► [Dec 07, 2020 - Urgent Finance & Audit Committee Meeting - Teleconference Available by Webcast](#)

[Feb 08, 2021 - Finance & Audit Meeting](#)

[Apr 12, 2021 - Finance & Audit Meeting](#)

[Jun 07, 2021 - Finance & Audit Meeting](#)

[Aug 09, 2021 - Finance & Audit Meeting](#)

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KEY DOCUMENTS

0 December 7, 2020 FA General Session Agenda

(Nov 30, 2020 – pdf – 40.3 KB)

2.1 February 11, 2020 Draft FA General Session Meeting Minutes

(Nov 30, 2020 – pdf – 51.8 KB)

2.2 August 10, 2020 Draft Urgent FA General Session Meeting Minutes

(Nov 30, 2020 – pdf – 46.6 KB)

2.3 October 12, 2020 Draft Urgent FA General Session Meeting Minutes

(Nov 30, 2020 – pdf – 43 KB)

3 Results of Examination and Independence Report from the System and Organization Control Auditor

(Nov 30, 2020 – pdf – 90.3 KB)

4 Recommendation Regarding Acceptance of 2020 System and Organization Control Audit Report

(Nov 30, 2020 – pdf – 185.5 KB)

5 Periodic Report on Credit Work Group Activity

(Nov 30, 2020 – pdf – 365.9 KB)

6 Annual Committee Self Evaluation Survey Results

(Nov 30, 2020 – pdf – 105.3 KB)

7.1 Review 2020 Financial Summary

(Nov 30, 2020 – pdf – 214.6 KB)

7.2 Periodic Report on Investments

(Nov 30, 2020 – pdf – 54.2 KB)

8 Future Agenda Items

(Nov 30, 2020 – pdf – 98.8 KB)

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